

OAKLAND POLICE DEPARTMENT

Office of Inspector General



AUDIT OF TASK 36

TRANSPORTING DETAINEES AND CITIZENS

November 5, 2013

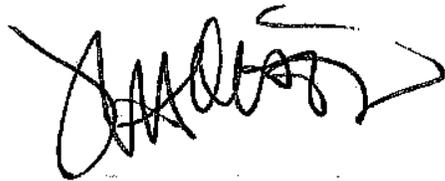
CITY OF OAKLAND

Memorandum

To: Chief Sean C. Whent
From: Office of Inspector General
Date: November 5, 2013
Subject: Task 36 - Transporting Detainees and Citizens Audit

On March 7, 2013, the Audit and Inspections Unit of the Office of Inspector General initiated an audit of Task 36, Transporting Detainees and Citizens. The purpose of the audit was to determine the Department's compliance with its policy and its progress in maintaining compliance with the requirements of Task 36 as set forth in the Negotiated Settlement Agreement.

To conduct the audit, the audit team reviewed the Computer Aided Dispatch purges for those incidents involving Departmental transports. The audit period for the review was February 1, 2013 thru February 28, 2013.

A handwritten signature in black ink, appearing to read 'Michelle Allison', with a large, sweeping flourish extending to the right.

Michelle Allison
Acting Lieutenant
Office of Inspector General

OFFICE OF INSPECTOR GENERAL
Audit and Inspections Unit



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EXECUTIVE SUMMARY

On March 7, 2013 the office of Inspector General (OIG) initiated an audit of Task 36 Transporting Detainees and Citizens. Task 36 has been separated into two sub-tasks requiring members and employees to log in and out of the radio (also referred to as “Dispatch”) during transports¹ and to report six required elements (time, start/end mileage, location, purpose of transport, gender and member identification)². The audit team reviewed 212 transports during the period of February 1, 2013 through February 28, 2013 and found the Department is 97% in compliance with personnel logging in and out of the radio and 99.5% with reporting the required elements.

In the last audit by the first Independent Monitoring Team (IMT) conducted in December 2008 and the last OIG audit conducted in April 2010, the Department was found in compliance with Task 36. This audit found compliance as well.

¹ Task 36.1

² Task 36.2

PURPOSE

On March 7, 2013, the Office of Inspector General (OIG) initiated an audit of Task 36, Transporting Detainees and Citizens. The purpose of this audit was to determine if the Department is adhering to its policy and has maintained compliance with the requirements of Task 36 as set forth in the Negotiated Settlement Agreement (NSA) and if not, to propose solutions for any deficiencies discovered.

BACKGROUND

There have been several audits of detainee transports conducted in the past. The first IMT conducted three audits (April 2005, July 2007, and December 2008); and the OIG completed a review in May 2006 and an audit in April 2010. The audits in 2008 and 2010 found compliance with Task 36.

NEGOTIATED SETTLEMENT AGREEMENT REQUIREMENTS

Task 36.1

Members and employees log in and out on the radio when transporting a detainee or any other civilian (unless the transport is done by wagon).

Task 36.2

The radio report includes: time, mileage, location, purpose of transport, gender of individual being transported, and the identification of the member or employee involved in the transport.

COMPLIANCE OVERVIEW

- 36.1 *Members and employees log in and out on the radio when transporting a detainee or any other civilian (unless the transport is done by wagon).*

In Compliance

Compliance Requirement: 90%

Audit Finding: 97%

- 36.2 *The radio report includes: time, mileage, location, purpose of transport, gender of individual being transported, and the identification of the member or employee involved in the transport.*

In Compliance

Compliance Requirement: 95%

Audit Finding: 99%

SCOPE AND POPULATION

Audit Scope

The scope of the audit was an assessment of radio reports to determine if during transports members log in and out and report to Dispatch the required elements (start and end mileage, location, purpose of transport, gender of person being transported and the identification of the transporting officer).

Audit Population and Sample

For this audit, a sample of transports of arrestees was reviewed for the period of February 1, 2013 through February 28, 2013. The auditor identified adult and juvenile arrests that occurred during the audit period using the CRIMS (Criminal Records Information Management System) and the YFSD (Youth and Family Service Division) 24-hour Intake Logs.

There were a total of 725 adult arrests during the audit period, involving 336 incidents (some incidents included multiple arrests). A random sample was chosen from the 336 incidents, using a one-tail test. The one-tailed test required 75 incidents to be reviewed in order to achieve a confidence level of 95% \pm 4%. However, a total of 100 incidents involving adult arrests were reviewed.

Also during the audit period, there were 26 juvenile arrests reflected on the YFSD 24-hour Log. Since the juvenile arrest population was relatively low the entire population was selected for review, less Non-OPD arrests and transports. The total number of OPD juvenile arrests determined for review was 22.

The total number of arrest incidents reviewed was 122, which included the 100 adult arrest incidents and 22 juvenile arrest incidents.

METHODOLOGY/ANALYSIS

To determine compliance with Task 36, the auditor reviewed the CAD (Computer Assisted Dispatch) purges for each incident to ensure the transporting member complied with the requirements of Task 36.1, members and employees log in and out of the radio when transporting. The auditor requested the CRIMS Logs and the Juvenile arrests for February 2013 and created a spreadsheet (using a template similar to the CRIMS Logs) recording the pertinent details for each of the juvenile arrests.

The overall rate of compliance for Task 36.2 was determined by averaging the reporting rates for each required element (time, mileage, location, purpose, gender, and member identification).

FINDINGS AND RECOMMENDATIONS

Task 36.1

Members and employees log in and out on the radio when transporting a detainee or any other civilian (unless the transport is done by wagon).

Findings

The Department was found in compliance with Task 36.1. There were five adult arrest incidents that were not applicable because the subjects were transported by ambulance to a hospital, not by OPD. The remaining 117 incidents reviewed included 228 separate transports, as some incidents required transporting multiple subjects.

The Department was 97% in compliance with officers logging in and out of the radio when transporting subjects. Of the 228 transports, there were seven in which the transporting officer did not log out.

Task 36.2

The radio report includes: time, mileage, location, purpose of transport, gender of individual being transported, and the identification of the member or employee involved in the transport.

Findings

The Department was found in compliance with Task 36.2. There were five adult arrest incidents that were not applicable because the subjects were transported by ambulance to a hospital, not by OPD. The remaining 117 incidents reviewed included 228 separate transports, as some incidents required transporting multiple subjects.

The average rate of compliance for all six required elements (time, mileage, location, gender, purpose, and member identification) was 99%. Of the 228 transports, there were seven (97%) in which the transporting officer did not log out, so the ending mileage and ending time of the transport were not documented on the CAD purge. Due to time constraints and the high rate of compliance, the auditor did not review CAD audio to confirm that the officer did not log out with the ending mileage. It is possible that the officer did call Dispatch, but the Dispatcher mistakenly did not record the information in the system. All other elements were at 100% compliance. The table below provides the compliance rate of each element required by Task 36.2.

Table 1: Task 36.2 Reported Elements

Required Element	Percentage
Time	97%
Mileage	97%
Location	100%
Gender	100%
Purpose	100%
Member Identification	100%
Average Reporting Rate of Required Elements	99%